



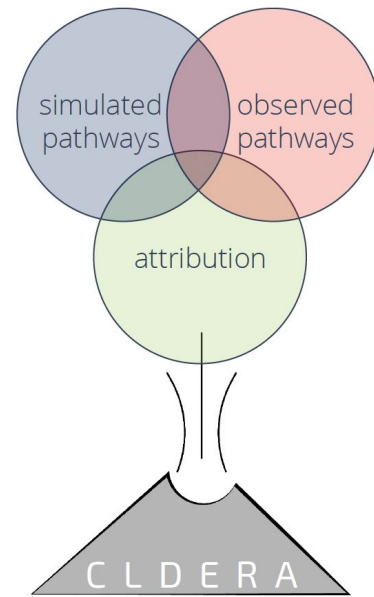
Mt. Pinatubo-Inspired Idealized Climate Data Sets with Embedded Pathways

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Motivation

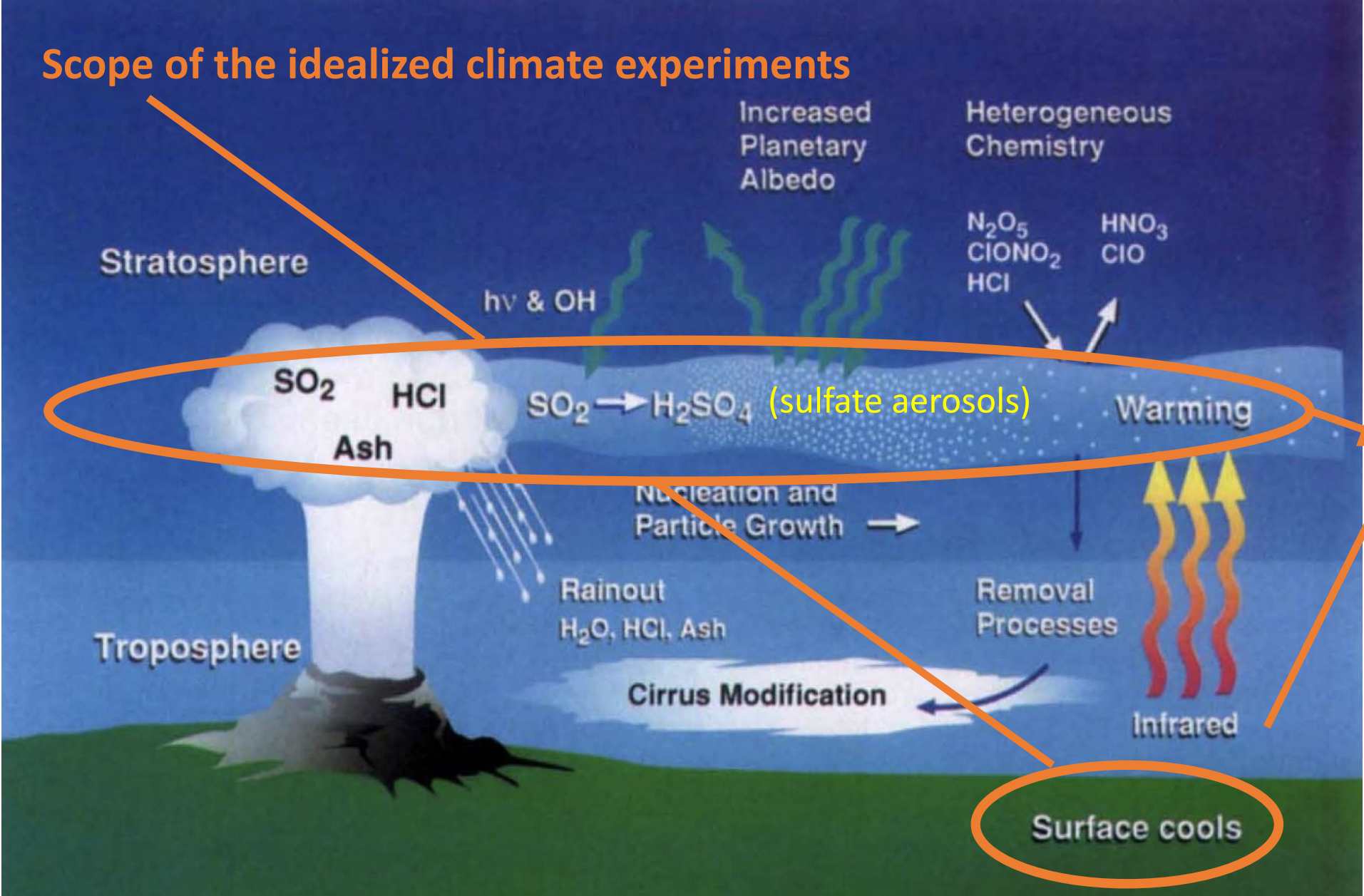
- Goal: Provide idealized climate data sets with prescribed pathways for a tiered verification of the CLDERA tools
- CLDERA exemplar: Selected Characteristics of the Mt. Pinatubo eruption
- Reminder of the first CLDERA science question: Temperature
- Principles behind idealized climate simulations
 - Climate model hierarchy: from simple to complex
 - Idealized Held-Suarez-Williamson (HSW) forcing with an active stratosphere
 - Baseline HSW climate
- Use of passive tracers to emulate the Mt. Pinatubo stratospheric aerosol injection (SAI)
 - Transported tracers: SO_2 and ash with sources and sinks
 - Embed prescribed pathways in the simulations, guided by the tracers
 - **2-node** pathway with a **single radiative response** in the stratosphere
 - **3-node** mixed pathway (via toy chemistry) with a **single radiative response** in the stratosphere
 - **3-node** mixed pathway with a **dual radiative response**: stratosphere & surface
- Discussion points

Mt. Pinatubo (June/15/1991) Fact Sheet



- **Source/SAI:** Main volcanic eruption released about 17-20 Tg of sulfur dioxide (SO_2) and 50 Tg of ash into the stratosphere (20-27 km) in the tropics (Guo et al. (2004a,b), Mills et al. (2016))
- **Sink:** E-folding (removal) time is around 25 days for SO_2 and 1 day for ash
- **Tracer advection & atmospheric circulation:**
 - SO_2 circled the Earth within 3 weeks
 - Injected particles and their radiative forcing are initially confined within the tropics and subtropics before the aerosols reach the midlatitudes and poles after 3-4 months
- **Chemistry:** SO_2 chemically interacts with other species (like OH, H_2O) to form sulfuric acid gas H_2SO_4 and liquid $\text{H}_2\text{SO}_4\text{-H}_2\text{O}$ sulfate aerosols
- **Forcing:** Aerosols control radiative forcing, **aerosol optical depth (AOD)** is an indicator
 - **Stratospheric heating** due to **absorption** of **long wave (LW)** and near-infrared **radiation** (SO_2 and H_2SO_4)
 - **Surface/Troposphere cooling:** sulfate **scatters** incoming **short-wave (SW)** solar **radiation**, overall cools the surface and troposphere, cooling dominates the overall response of the climate system for ≈ 2 years
- The **important nodes in the pathway** are:
gas/SAI injection \rightarrow secondary aerosols (sulfate) \rightarrow radiation effects \rightarrow temperature
- The **elements in red are simplified** in the idealized climate experiments

CLDERA Mt. Pinatubo Exemplar: Nodes in the Pathways



Plus:
Secondary pathways related to changes in the wind and circulation (caused by the heating/cooling).
Are these detectable?

Modified from McCormick et al. (Nature, 1995)

Relationship to the CLDERA Science Question:

What are the spatio-temporal signatures of the temperature change due to the Mt. Pinatubo eruption?

Primary use
of the idealized
data

- Can known pathways be recovered and new pathways discovered in both simulated and observed data sets? How well do the simulated and observed pathways align? (magnitude, lag, extent, ...)

Secondary use
when using
different ICs

- How does the QBO, ENSO, and / or NAO ('a varying background state') impact the relationship between the eruption and temperature perturbation?

Another
potential
secondary use

- Can CLDERA identify the location and magnitude of the Mt. Pinatubo eruption from the temperature perturbation? How does the attribution change as a function of eruption characteristics and lag from eruption time? (traditional inverse problem)

Not covered in
idealized data

- Can pathways be used to resolve debate surrounding NH winter warming?

Building Bridges across the GCM Model Hierarchy

Realistic climate configurations

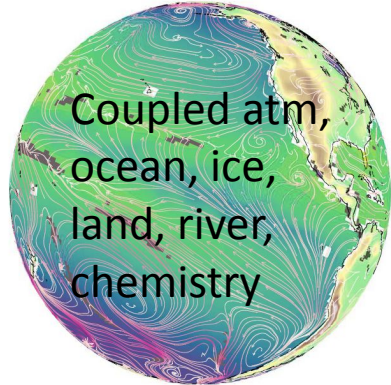
Coupled System

Atmosphere & Land

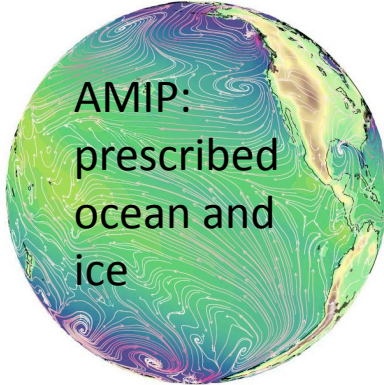
Aquaplanet:
Atmosphere only

Radiative-Convective
Equilibrium (RCE)

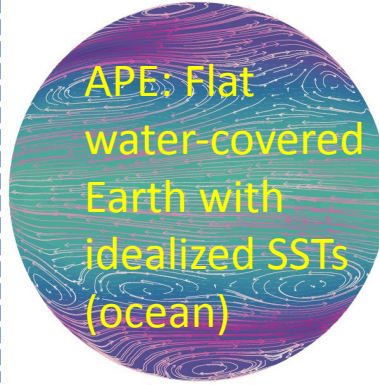
Dycore with idealized dry
(or moist) physics



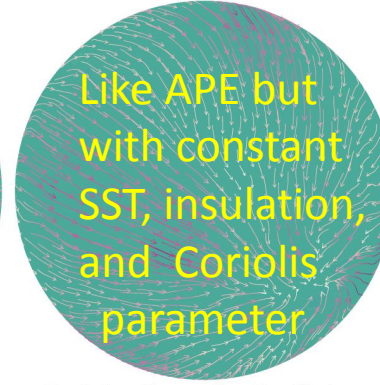
Earth System



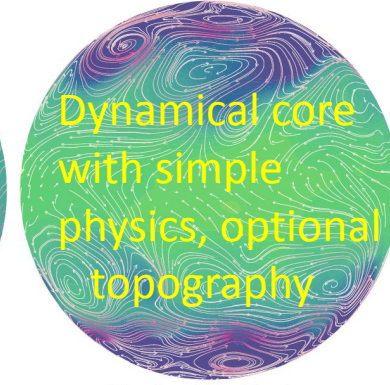
Atmosphere



Aquaplanet



Radiative-Convective Equilibrium



Idealized Dry Physics

Full complexity

Reduced complexity

Modified from
Maher et al. (2019),
Fig. 7



Our work (dry)

Pont du Gard Aqueduct
near Avignon, France

GCM Model Hierarchy

Lowest complexity

Isolated Dynamics:

Deterministic dry
dynamical core tests

Isolated Physics:

Single Column Modeling

Deterministic moist
dynamical core tests

Our work

Dry dynamical core with forcing

via E3SM's FIDEAL compset

newly recovered (github change to come)

Dycore with simplified moist physics

Radiative Convective Equilibrium (RCE)

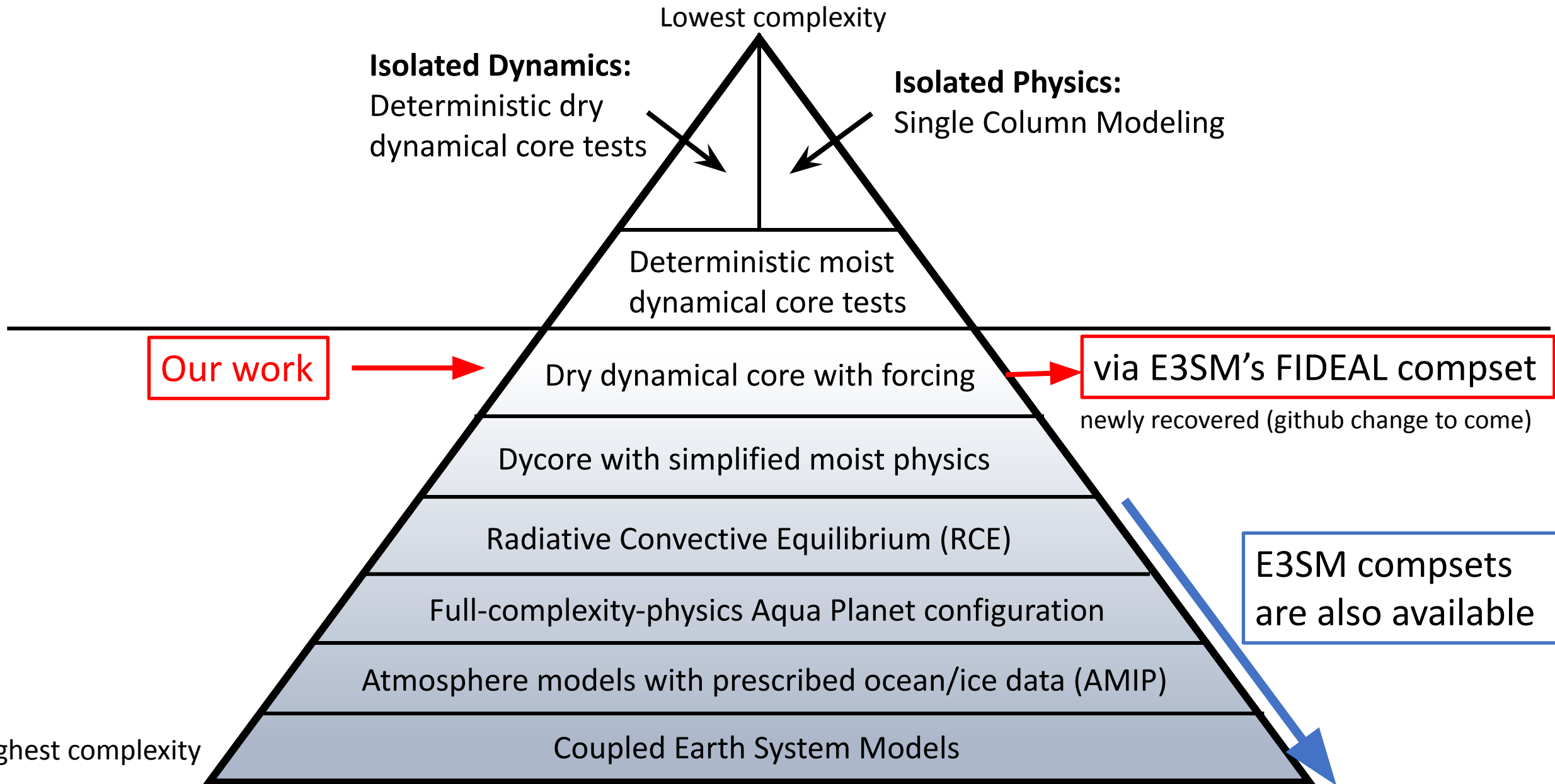
Full-complexity-physics Aqua Planet configuration

Atmosphere models with prescribed ocean/ice data (AMIP)

E3SM compsets
are also available

Coupled Earth System Models

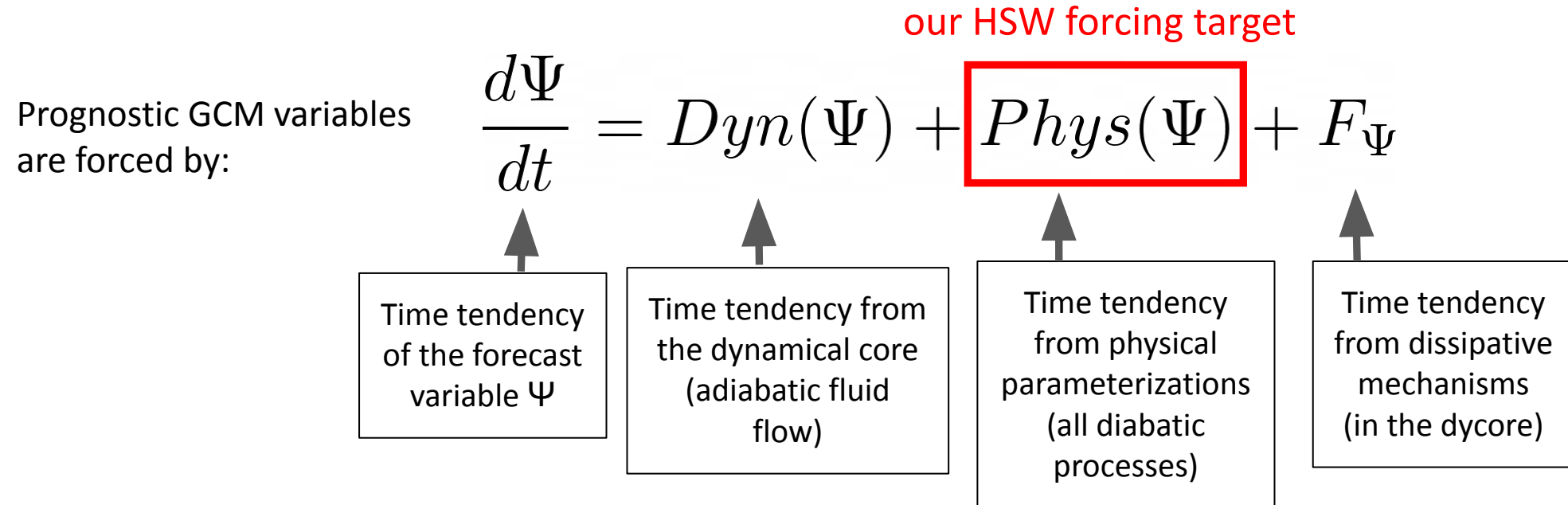
Highest complexity



Idealized Held-Suarez-Williamson (HSW) Forcing

Main idea: replace the complex physics package with processes that are:

- just complex enough to allow simulations of an idealized ‘climate’ (resembling nature)
- simple enough to allow tractability of flow features embedded in this environment
 - “cleaner”, i.e. fewer couplings/feedbacks between processes
 - Lower *conceptual* and *computational* complexity
- The HSW forcing for **dry** dynamical cores mimics the **planetary boundary layer (PBL) mixing** via Rayleigh friction and replaces the **radiation** with a Newtonian temperature relaxation.



Idealized HSW forcing plus Simple Pathway Mechanisms

Physical Parameterizations	Replaced by Idealized HSW Physics
Microphysics	none
Macrophysics	none
Deep convection	none
Shallow convection	none
Gravity wave drag	none
Radiation	Newtonian temperature relaxation
Surface fluxes	none
Planetary boundary layer turbulence	Rayleigh friction
Modules	Replaced by (for embedded pathways)
Chemistry module	none or 'toy chemistry'
Aerosol module	none or 'sulfate' (via toy chemistry) & 'AOD' (via aerosol column burden) analogues

Phys(Ψ) functions

$$\frac{\partial T}{\partial t} = -\frac{1}{k_T(\phi, p)} [T - T_{eq}(\phi, p)]$$

$$\frac{\partial \vec{v}_h}{\partial t} = -\frac{1}{k_v(p)} \vec{v}_h$$

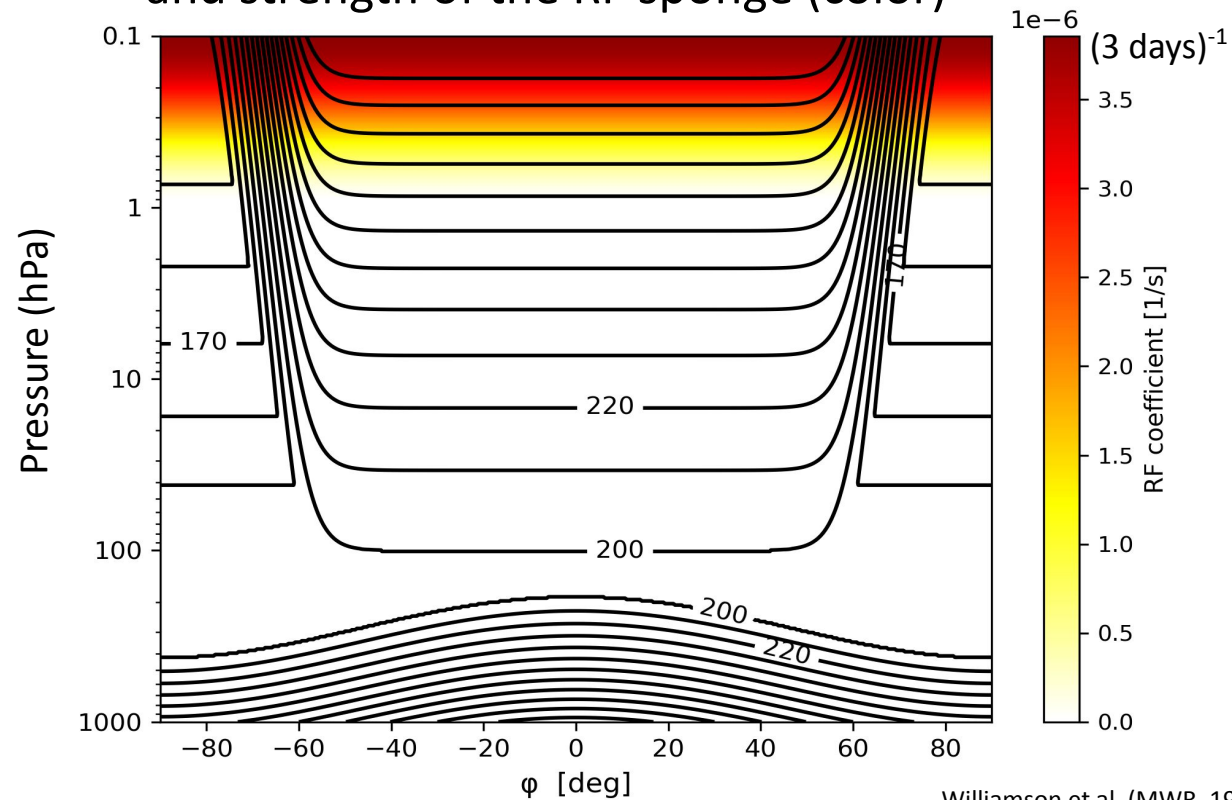
- k_v and k_T are spatially-dependent relaxation coefficients
- T_{eq} is a thermal equilibrium temperature (shown on next slide)

See Held and Suarez (BAMS, 1994),
Williamson et al. (MWR, 1998)

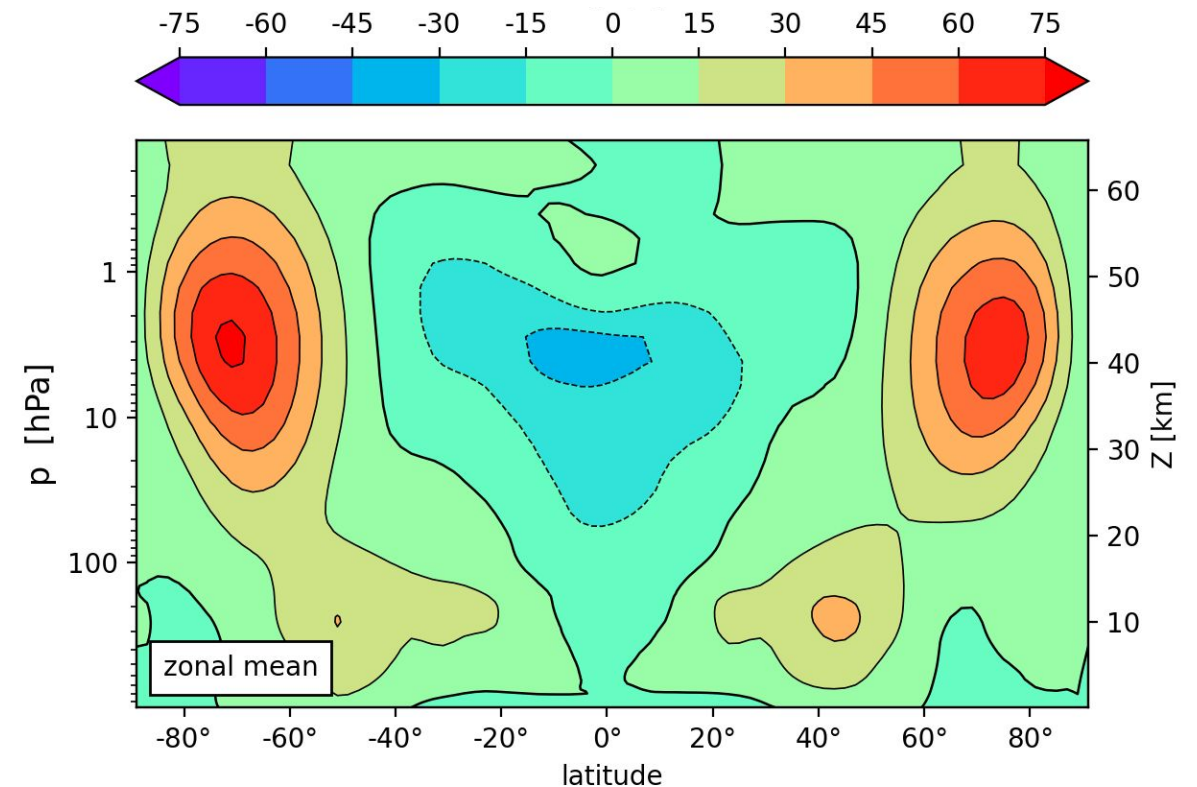
Description of the HSW forcing & Initial Conditions (IC)

- All radiation processes approximated by the relaxation to the HSW equilibrium temperature profile T_{eq}
- Two Rayleigh friction (RF) layers
 - at lower levels below 700 hPa mimicking the PBL turbulence/mixing
 - RF mixing above 1 hPa in the sponge layer to absorb upward propagating waves

HSW Equilibrium Temperature T_{eq} (K)
and strength of the RF sponge (color)

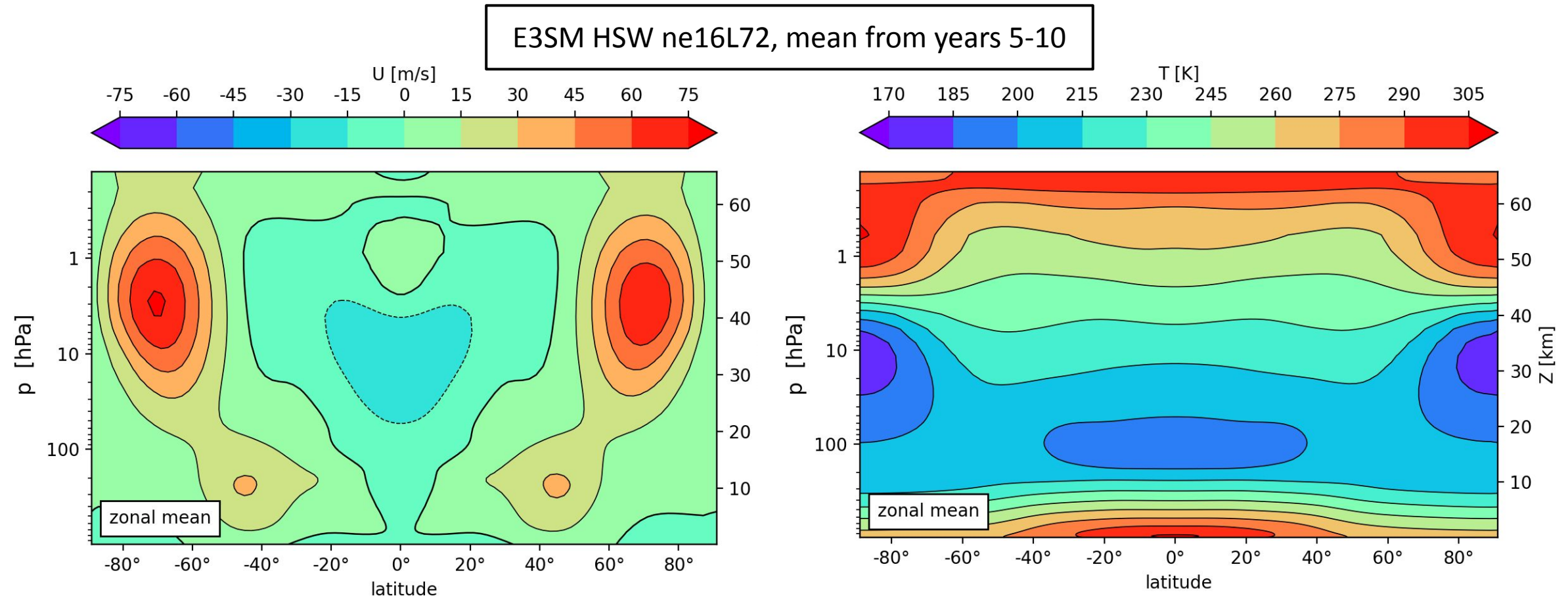


Initial state (after a 6-year spin-up from a state of rest):
Zonal-mean zonal wind U (m/s), ne16L72 (200 km), E3SMv2



HSW Climate Response is Quasi-Realistic

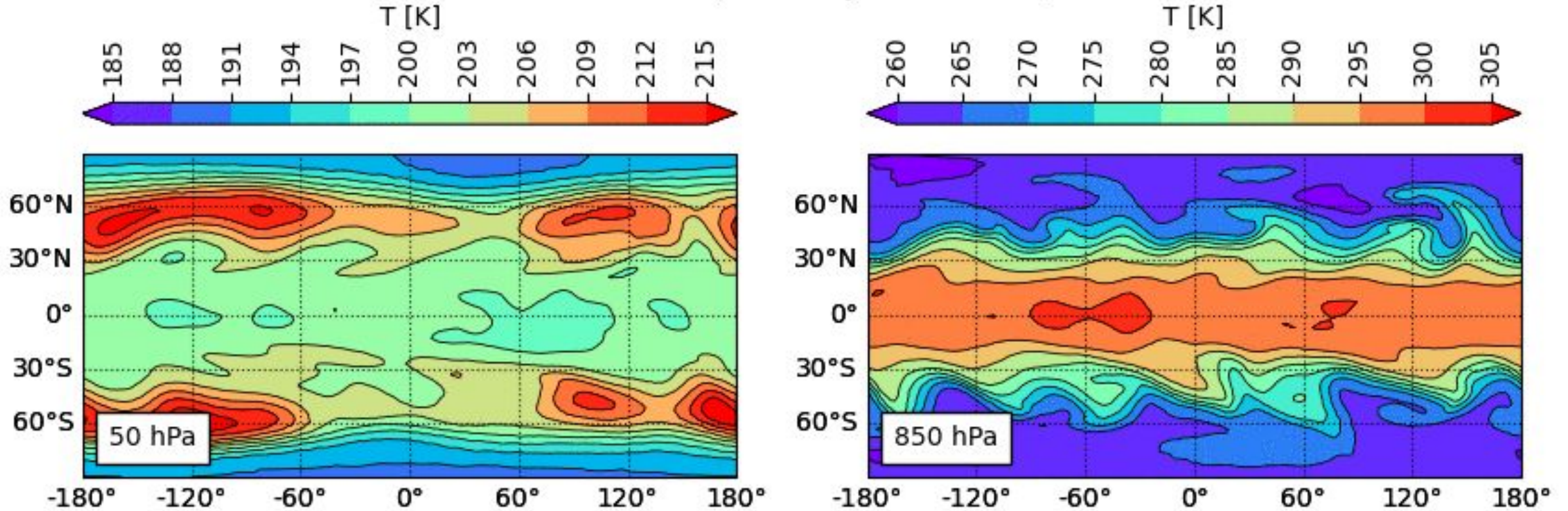
- Time-mean zonal-mean zonal wind U (m/s) and temperature T (K) climatology mimics Earth
- Circulation is quasi-realistic with midlatitudinal and polar jets caused by latitudinal T gradients



HSW: Snapshots of the Temperature are Quasi-Realistic

- Animations of the T evolution in the lower troposphere (850 hPa) & stratosphere (50 hPa)

EAM HSW ne16L72, 30-day evolution, hour 0



Idealized Etiological Pathways Triggered by Tracers

Simple *Stratospheric Aerosol Injection (SAI)* modelled as a 3D Gaussian ellipsoid, injection has a prescribed time limit and profile

- The *tracer tendency* $\frac{\partial \rho}{\partial t} = R(\rho) + f$ for e.g. the SO_2 density ρ is a function of the injection source f and a linear sink R representing chemical removal:

Source:

Horizontal shape

Time dependency

$$f = AH(r)V(z)T(t)$$

Amplitude

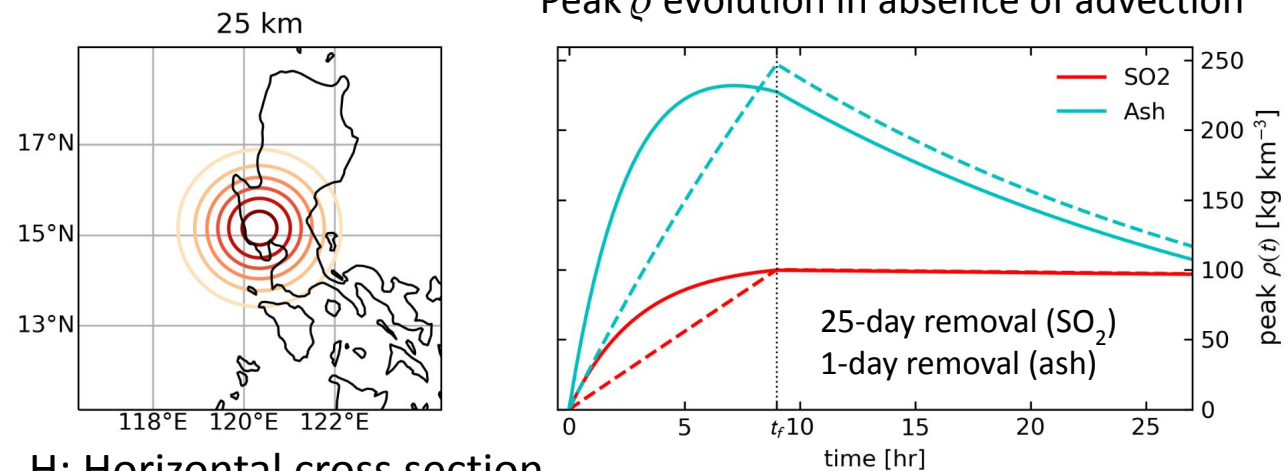
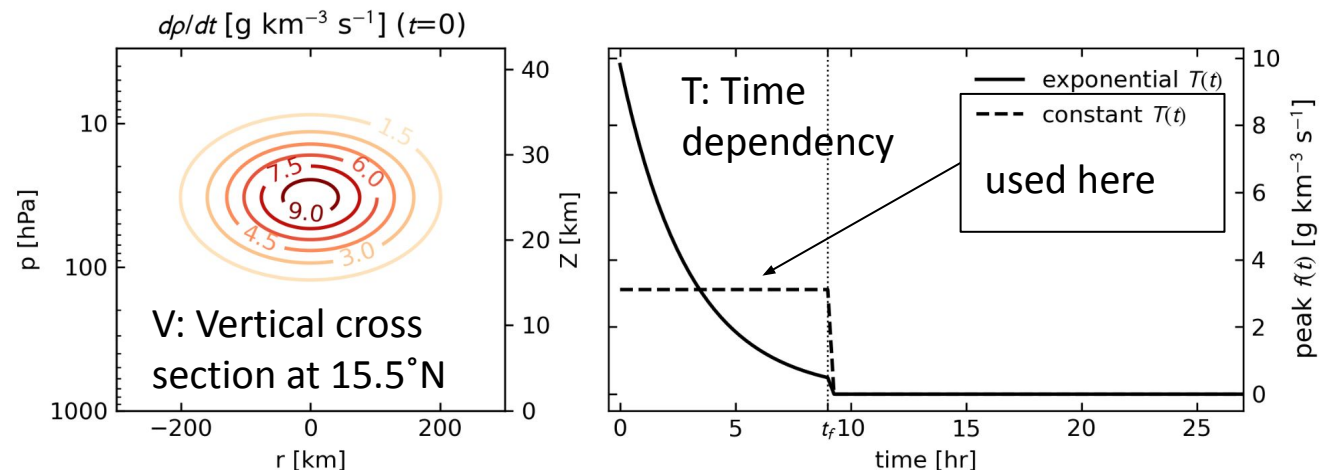
Vertical shape

Sink:

$$R(\rho) = -k\rho$$

r: great circle distance
z: height
k: inverse removal time scale

Profile of the SAI injection for SO_2 (and ash)



Idealized Etiological Pathways Triggered by Tracers

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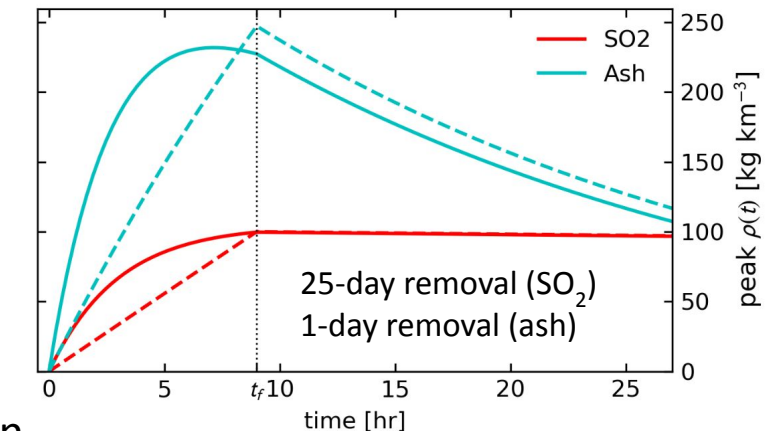
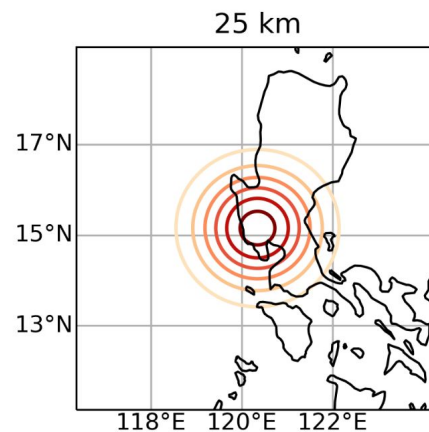
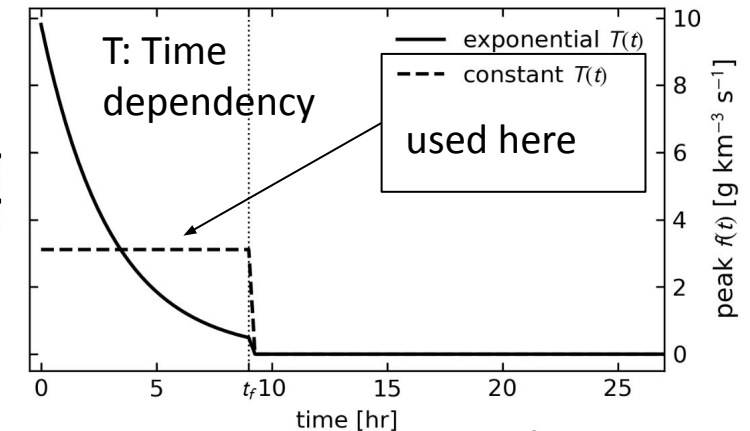
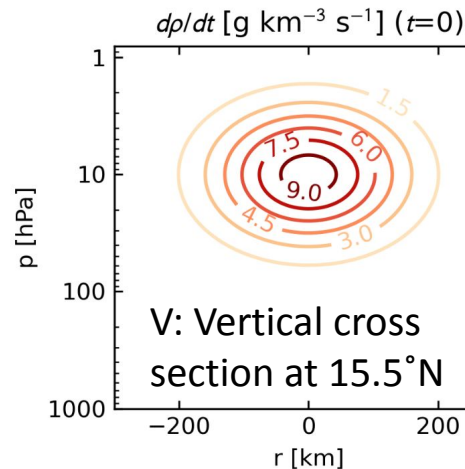
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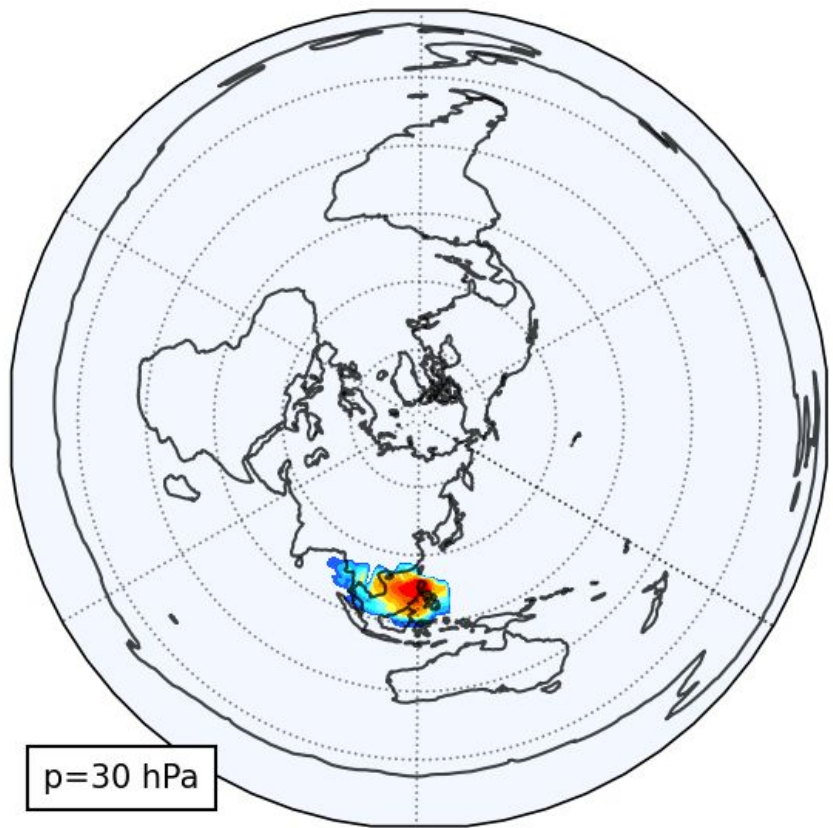
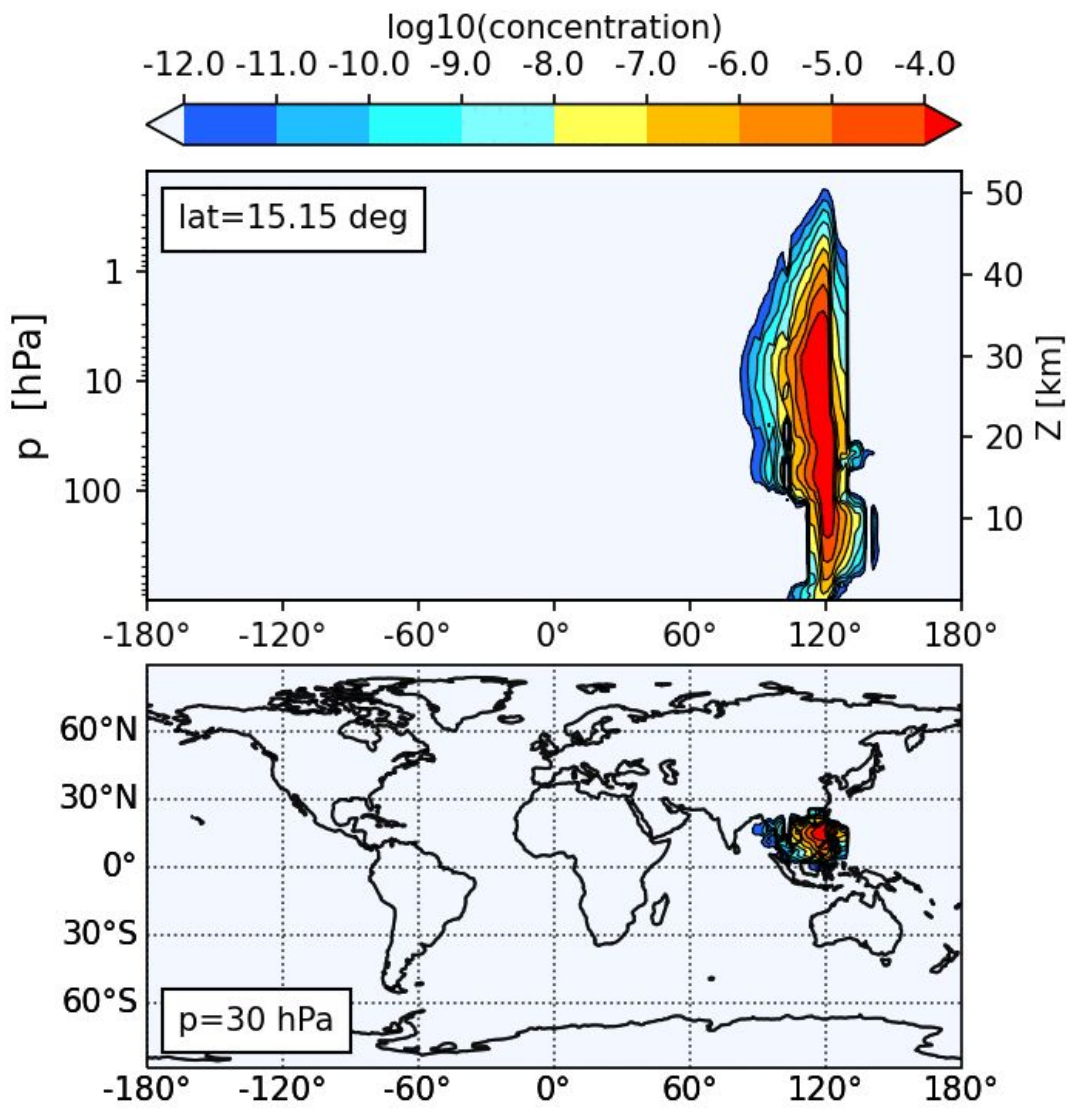
r: great circle distance
z: height
k: inverse removal time scale

Profile of the SAI injection for SO_2 (and ash)



SO₂ Evolution in E3SMv2 HSW (over 30 days)

E3SMv2 HSW ne16L72, SO₂, day 0

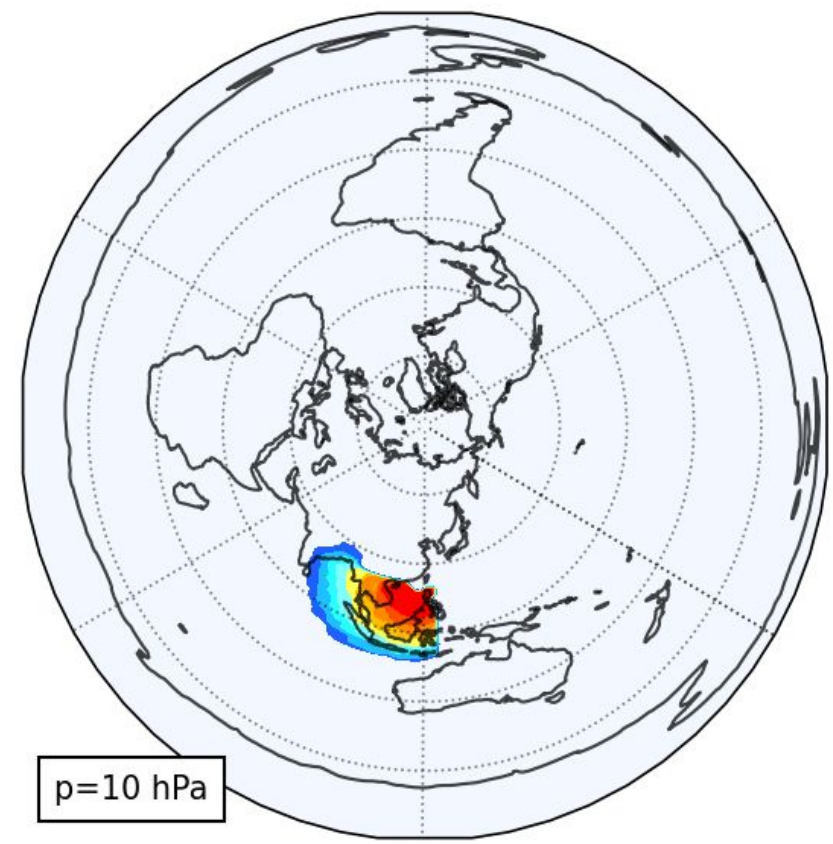
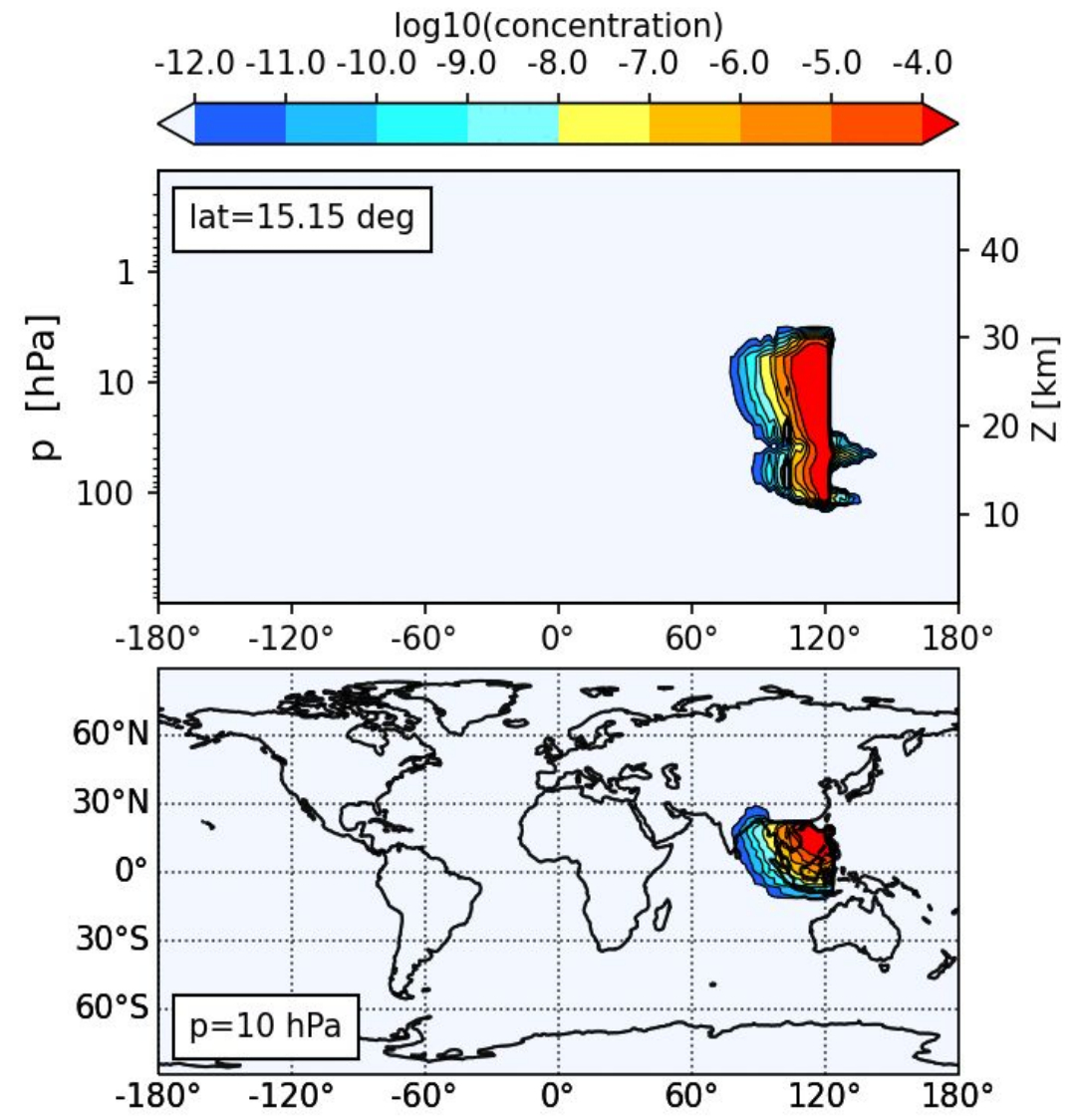


17 Mt SO₂
injected over
48-hour period at
(15 N, 120 W)

Circulates the
globe in ~20 days;
quasi-realistic!

SO₂ Evolution in E3SMv2 HSW (over 30 days)

E3SMv2 HSW ne16L72, SO₂, day 0



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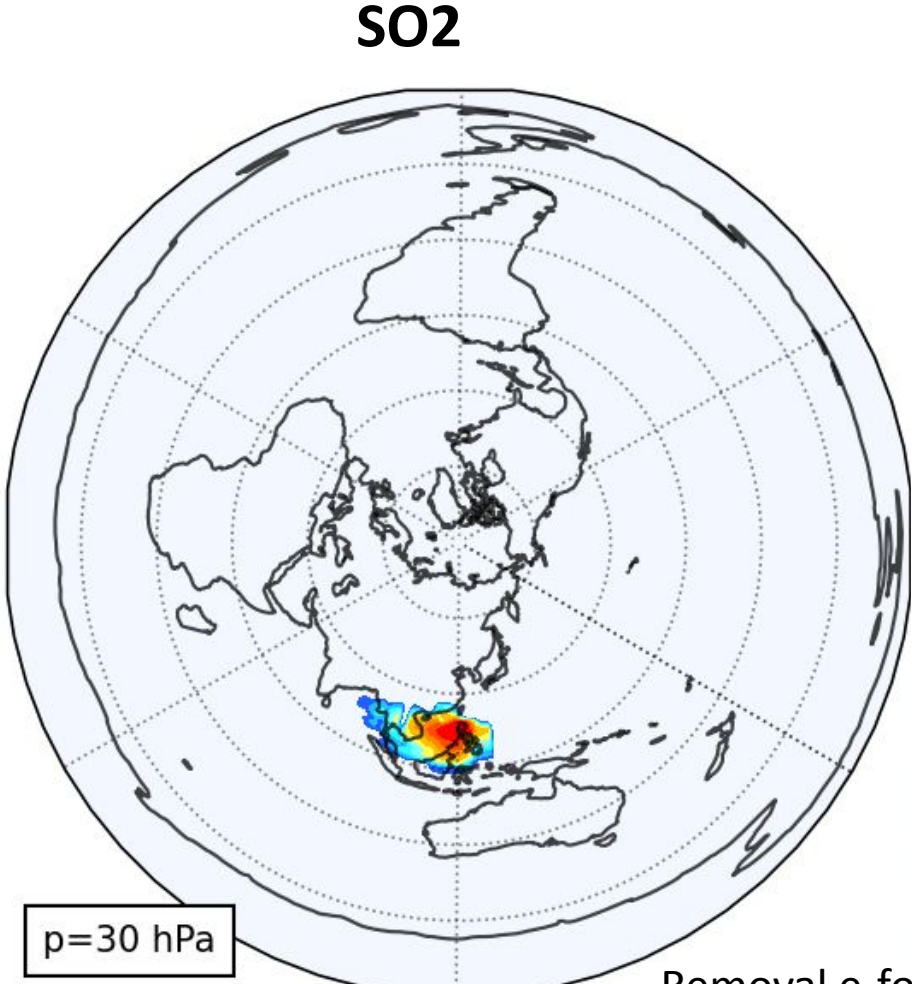
SO₂ and Ash Evolution in E3SMv2 HSW (over 30 days)

17 Mt SO₂, 50 Mt ash injected over 48-hour period at (15 N, 120 W)

SO₂ Circulates the globe in ~20 days

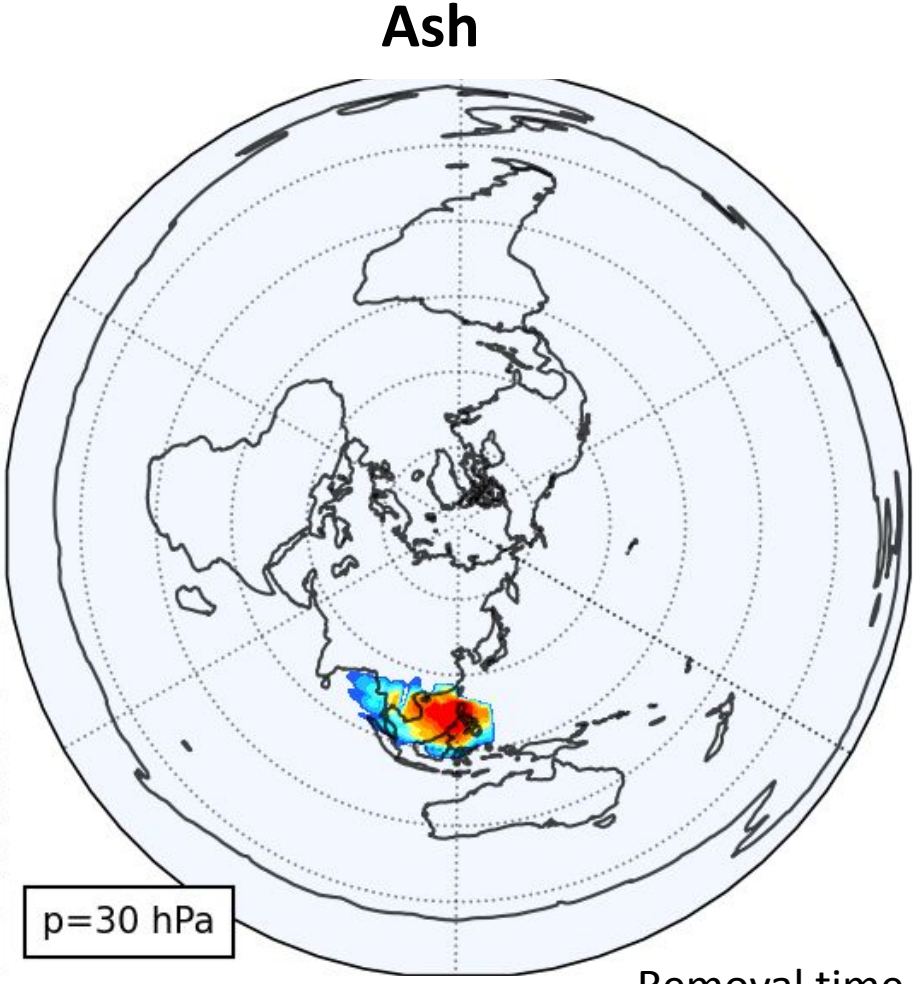
Ash dissipates below 1e-12 by day ~20

E3SMv2 ne16L72 HSW



Removal e-folding time scale 25 days

day 0



Removal time scale 1 day

SO₂ and Ash Evolution in E3SMv2 HSW (over 30 days)

17 Mt SO₂, 50 Mt ash injected over 48-hour period at (15 N, 120 W)

SO₂ Circulates the globe in ~20 days

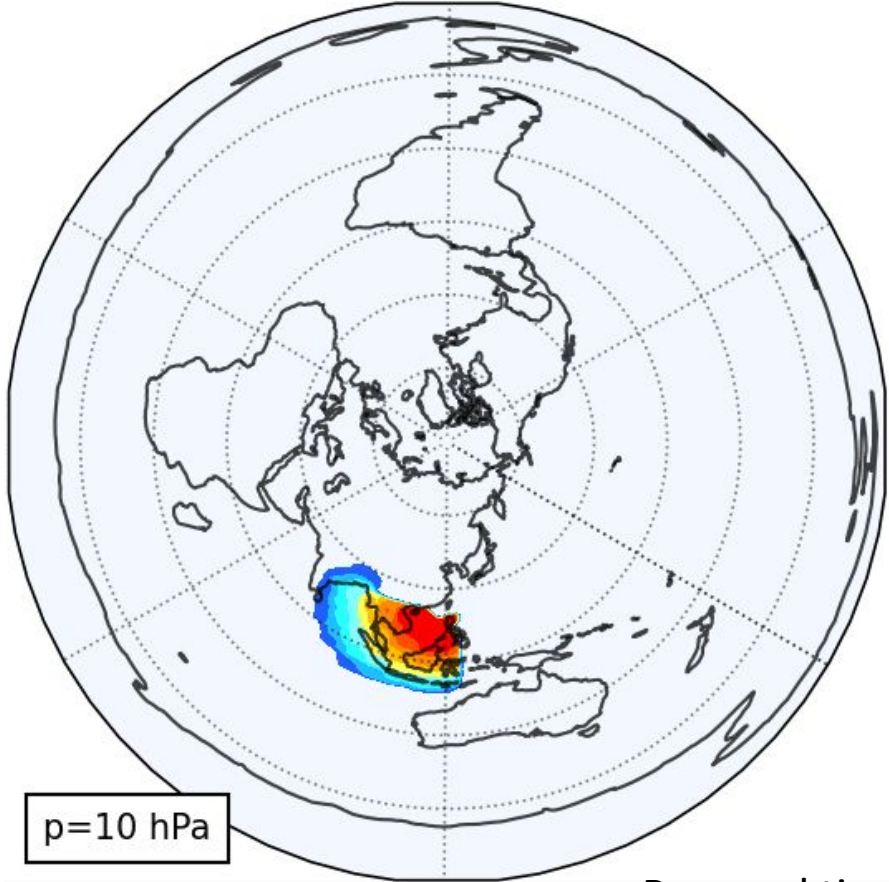
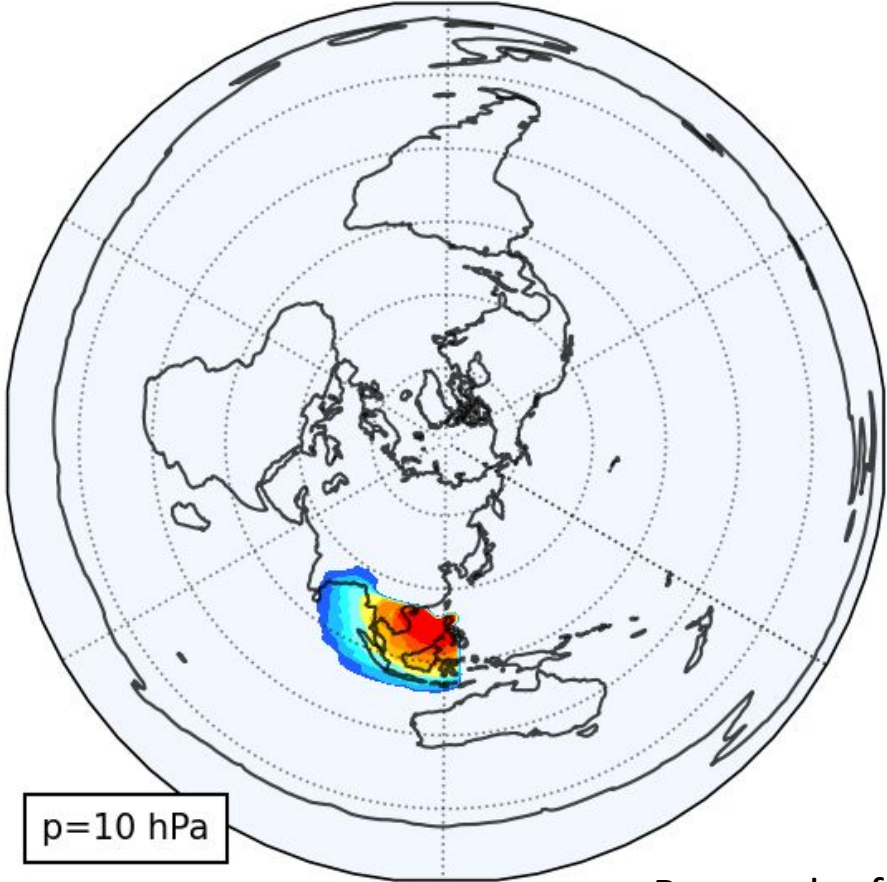
Ash dissipates below 1e-12 by day ~20

E3SMv2 ne16L72 HSW

SO₂

Ash

, day 0

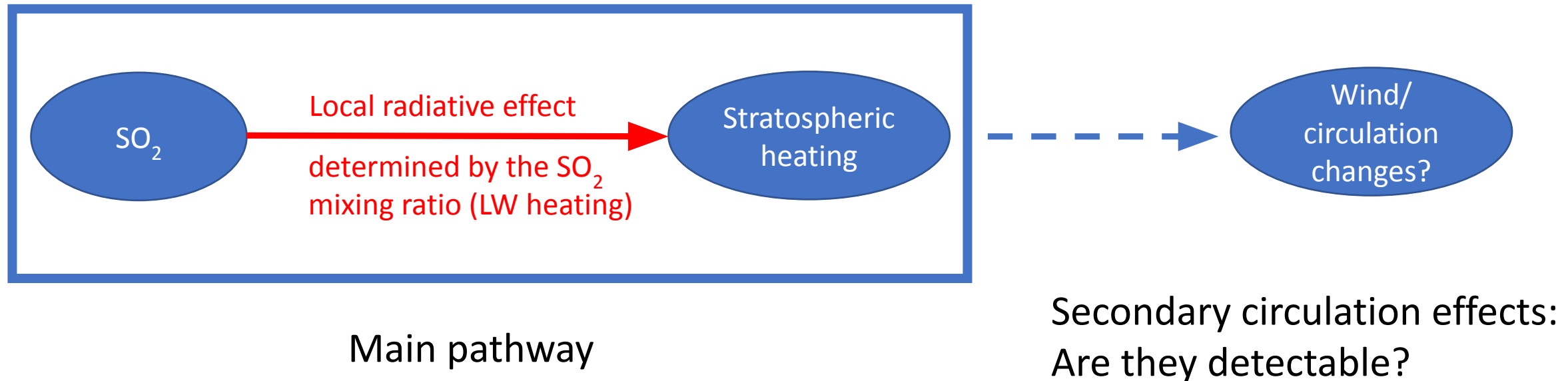


Removal e-folding time scale 25 days

Removal time scale 1 day

Embed Pathway 1

- All embedded pathways are guided by tracer distributions (injection event)
- 2-node pathway with a single radiative response:
heating in the stratosphere

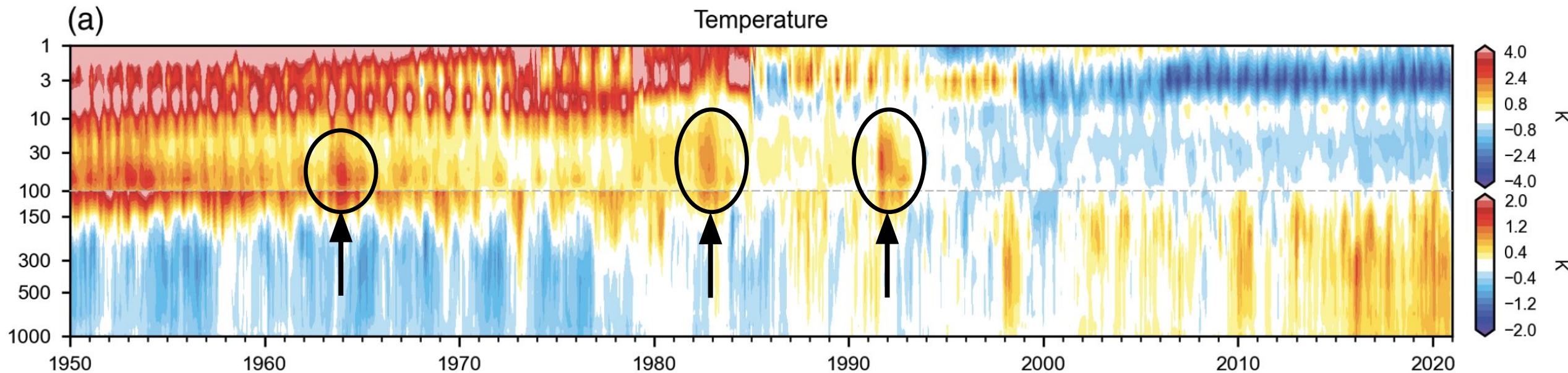


Temperature pathways are also described here:

<https://sems-atlassian-son.sandia.gov/confluence/display/cldera/Temperature+signature+focus>

Embed Pathway 1

- 2-node pathway with a single radiative response in the stratosphere
- Magnitude of our **heating anomaly is informed by ‘observations’** (ERA5 reanalysis)
- Large tropical volcanic eruptions: Agung (March 1963), El Chichon (April 1983) and Mt. Pinatubo (June 1991) triggered heating anomalies around **3-4 K at 30 hPa**



ERA5 estimates of the heating anomaly (Bell et al., QJ, 2021): monthly and globally averaged upper-air anomalies with respect to the 1981–2010 monthly climatology for (a) temperature. Note the different color scales used above and below 100 hPa. See also Sukhodolov et al. (GMD, 2018), Fig. 6

Pathway 1: Initial demonstration project

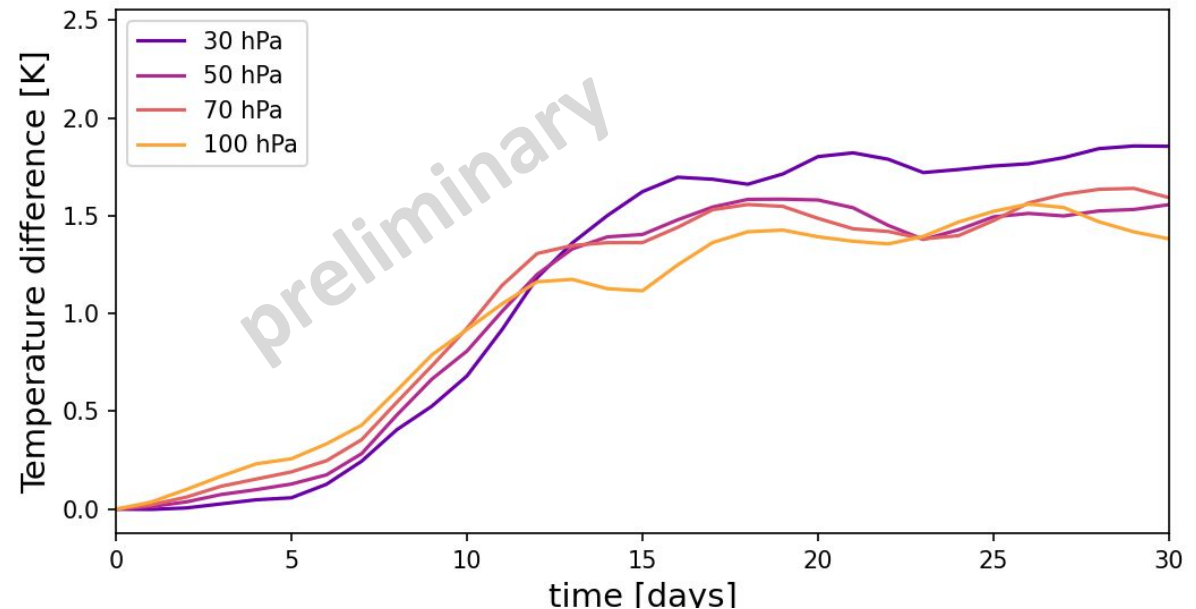
- Stratospheric heating is directly connected to SO_2 mixing ratio (maybe $\log_{10}(\text{SO}_2)$) with peak heating tendency of a few K/day

Model this via a heating rate per unit mass, influencing the temperature tendency

$$s \equiv \frac{Q_{\text{strat}}}{m} = \frac{c_{\text{SO}_2}}{c^*} c_p \delta T_{\text{strat}} \implies \frac{\partial T}{\partial t} = \dots + \frac{c_{\text{SO}_2}}{c^*} \delta T_{\text{strat}}$$

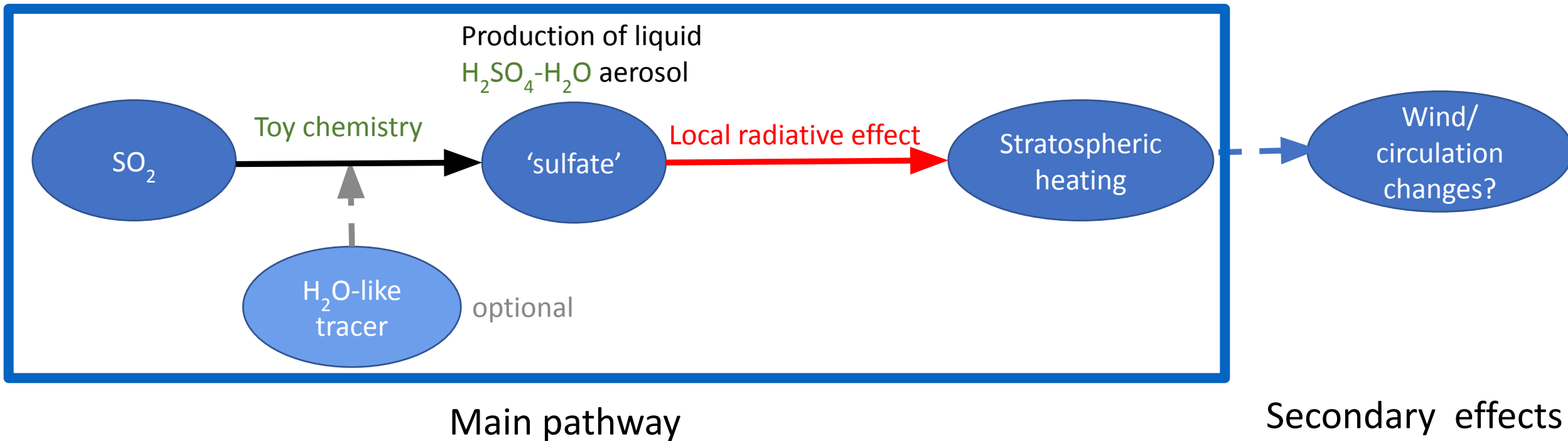
SO2 mixing ratio
heating magnitude. = 0.3 K/day
normalization

Evaluating the temperature difference across the stratosphere between two E3SM injection runs **with** and **without** this heating pathway enabled



Embed pathway 2

- 3-node mixed pathway (via **toy chemistry**) with a single radiative response: **heating in the stratosphere**
- Replace the (linear) removal process for SO_2 with toy chemistry, potentially informed by the presence of a second passive tracer like water vapor
- SO_2 chemistry sink becomes liquid $\text{H}_2\text{SO}_4\text{-H}_2\text{O}$ sulfate aerosol source



Embed pathway 2

- Tropical liquid $\text{H}_2\text{SO}_4\text{-H}_2\text{O}$ (sulfate aerosol) observations by the SAGE satellite instrument after 1991 eruption
- Observations of liquid $\text{H}_2\text{SO}_4\text{-H}_2\text{O}$ inform the **toy chemistry** process (production rate of fake 'sulfate aerosols', see schematic diagram)
- Liquid 'sulfate aerosol' is produced and serves as a new sink for SO_2
- Lifetime of liquid $\text{H}_2\text{SO}_4\text{-H}_2\text{O}$ aerosol in the stratosphere is about 1 year (slower removal time scale than SO_2)

for SO_2 and ash column burden observations after the eruption, see also Sekiya et al. (JGR, 2016)

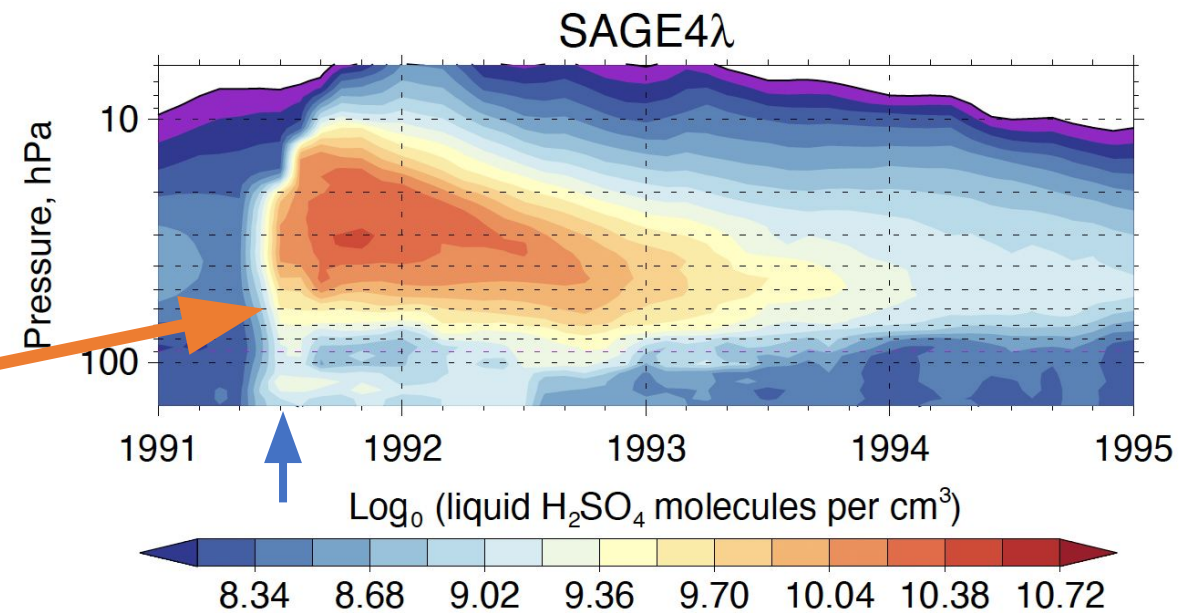
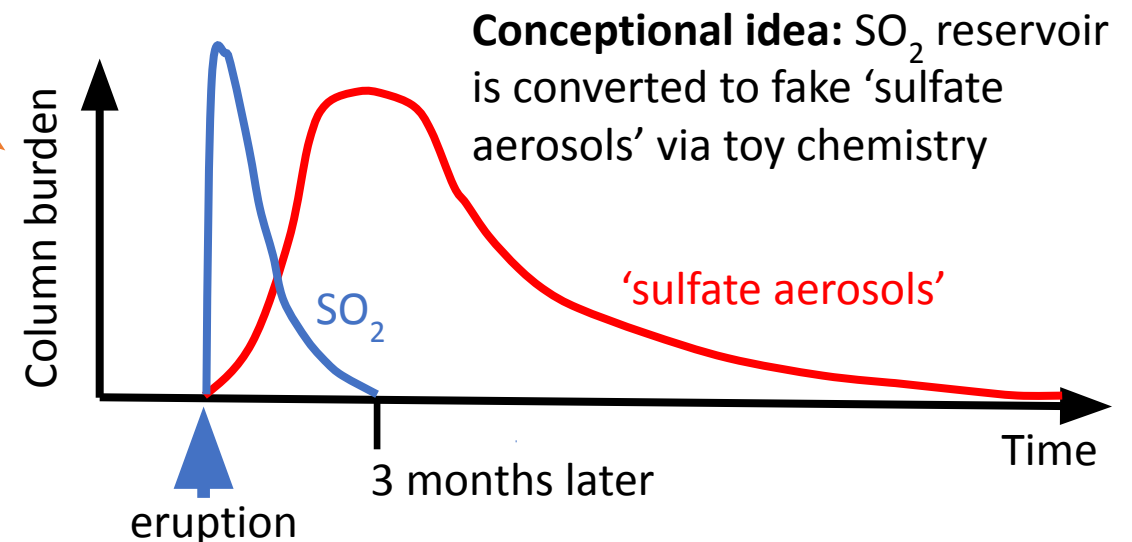
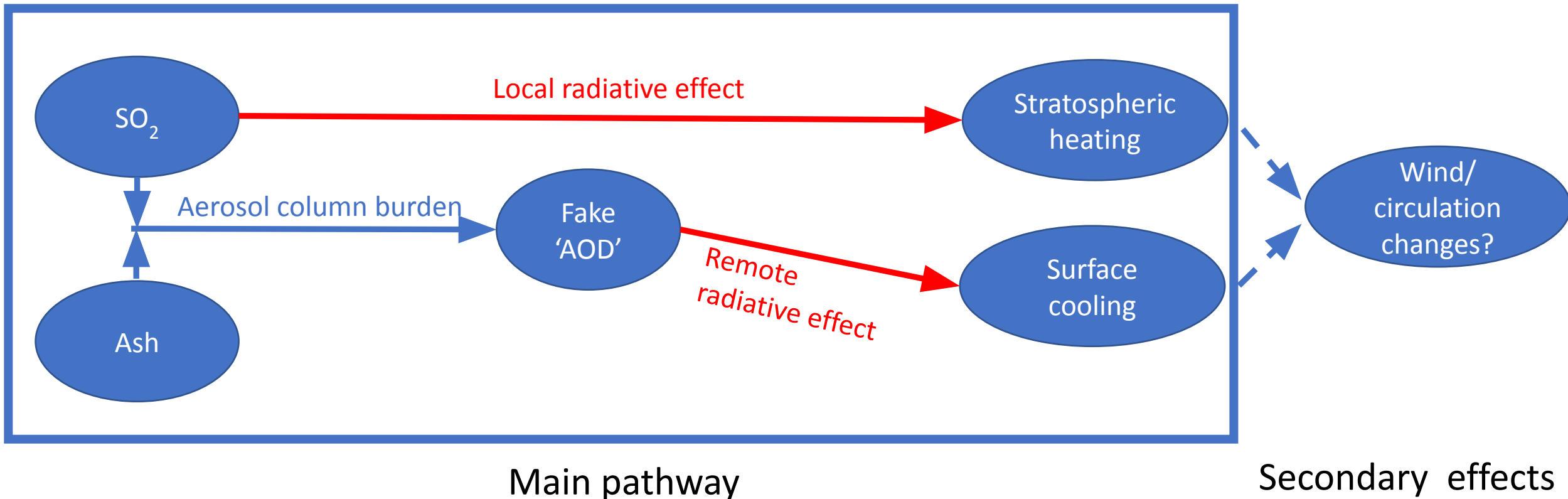


Figure 7. Vertical distribution of liquid H_2SO_4 concentration averaged over the tropics (20°S – 20°N). Source: Sukhodolov et al. (GMD, 2018)



Embed pathway 3

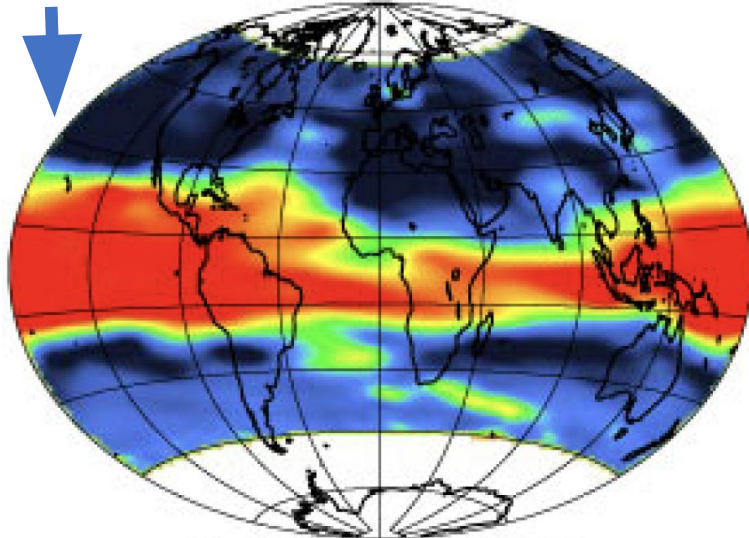
- 3-node mixed pathway with a dual radiative response:
stratospheric heating & surface cooling
- Use the (vertically integrated) **column-burden of SO₂ and ash** to mimic **AOD**
- Might need longer removal time scales for SO₂ and ash for 90-day runs



Embed pathway 3

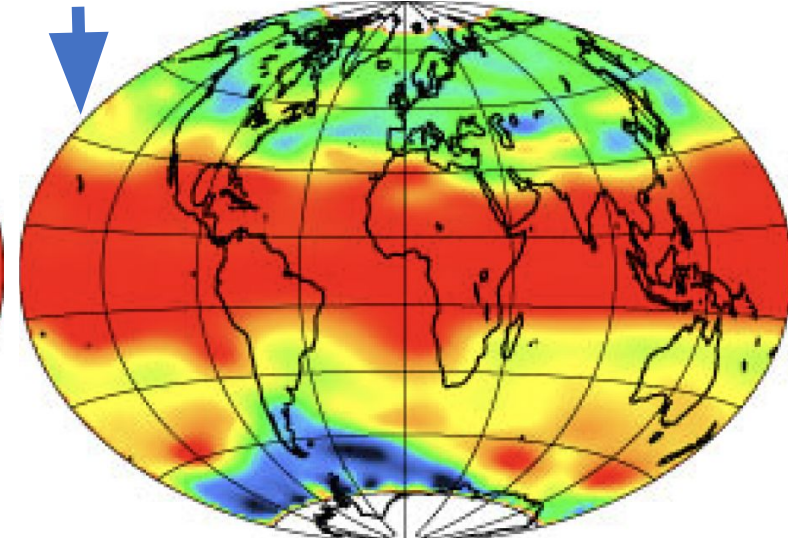
- 3-node mixed pathway with a dual radiative response: stratospheric heating & surface cooling
- Use the (vertically integrated) column-burden of SO₂ and ash to mimic AOD (informed by satellite observations)
- Aerosol amount (AOD/column burden) informs cooling magnitude

40-day-mean AOD after the eruption, aerosols confined within the tropics

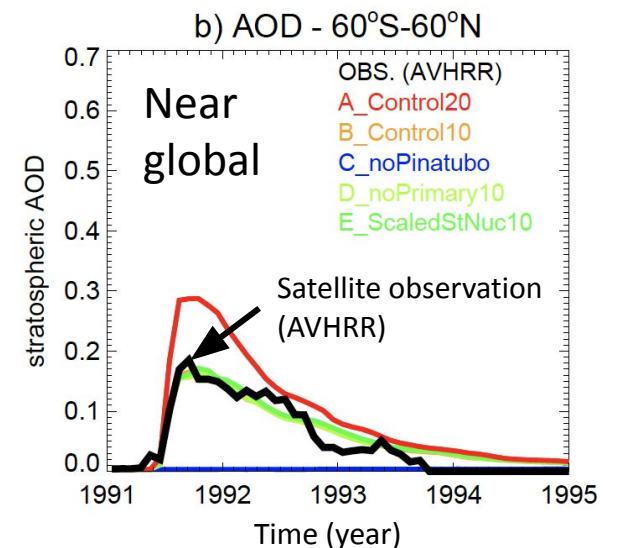
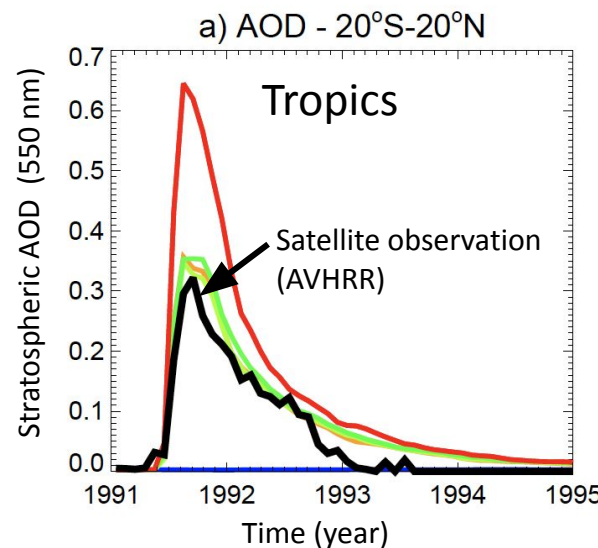


91-June-15 to 91-July-25

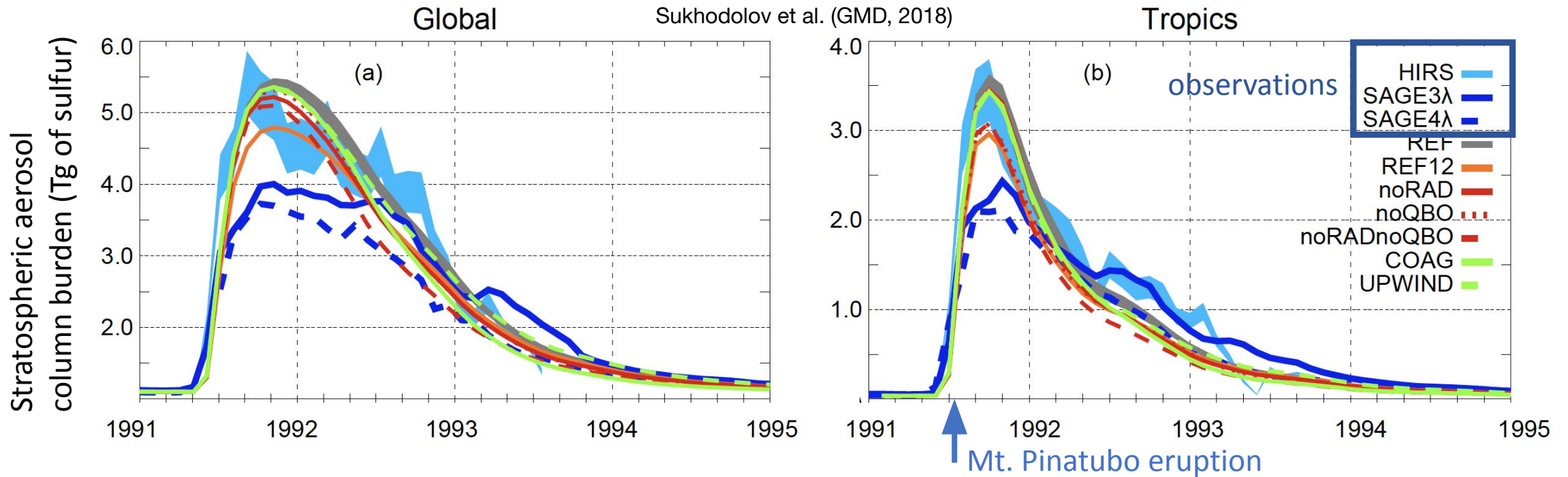
Mean during month 3 after eruption, aerosols start to reach poles



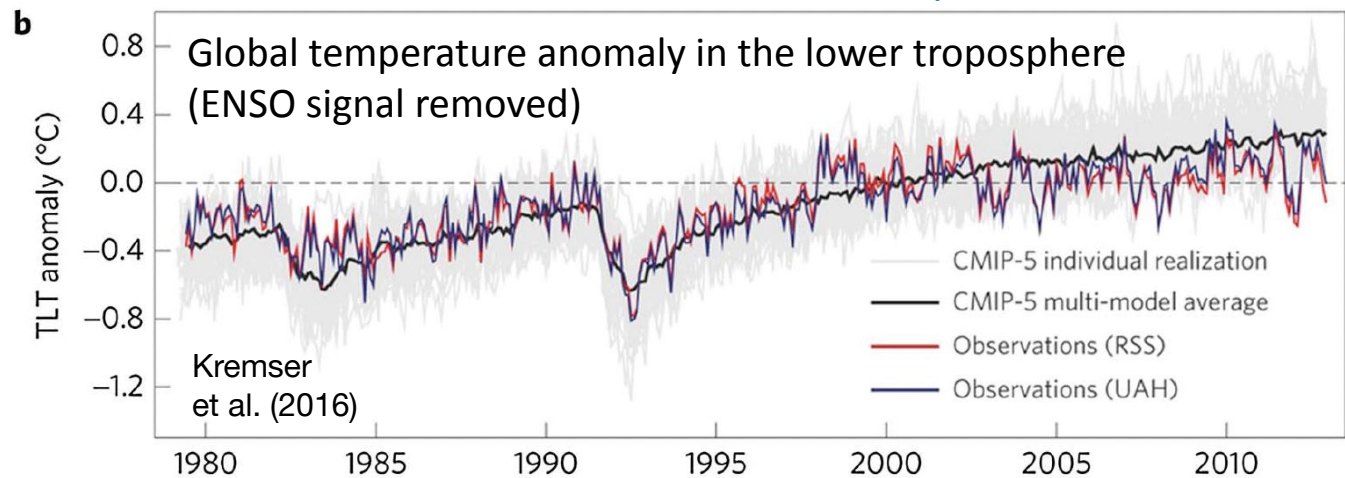
91-August-23 to 91-September-30



Aerosol column burden mimics AOD, drives surface cooling



- From observations: Increased aerosol column burden (increased AOD) after eruption triggers cooling in the lower troposphere (TLT): -0.4 - -0.8 K
- Informs our cooling magnitudes



Discussion Points: Idealized climate data

1. Scientific design of the pathways and idealized atmospheric circulation
 - Are the three suggested pathways just right, too simple, or too complicated?
 - Provide feedback, other pathway designs are possible
 - More complexity is possible, e.g. with idealized topography or idealized moisture processes. Is there a need?
2. Design of potential ensembles
 - Are the tools robust: variations of the initial conditions or injection profile using identical pathways
 - Can tools detect the strength of the pathway correlations? E.g. doubling the heating strength?
 - Is random noise in the pathways needed or desired?
3. Data questions
 - **File formats:** All files will be in **netcdf** format. Does this work for the tools? Desired data location (e.g. Sandia HPC)? One file per variable or all variables in one file? How many time snapshots per file? Etc.
 - **Grid:** Native cubed-sphere L72 grid? Is 4D (lon, lat, lev, time) remap desired as a postprocessing step?
 - **Resolution:** suggested starting point is ne16 (200 km) with 72 levels (L72), ne30 (100 km) is possible
 - **Output variables:** which ones are needed? 2D needed, e.g. data on pressure levels, vertically-integrated?
 - **Output frequency:** e.g. 1hr, 3hr, 6hr, daily, monthly, instantaneous or time-means?
 - **Simulation length:** suggested starting point is 90 days (aerosols start to reach poles)
 - **Number and design of ensembles:** initial conditions, variation of coefficients, variation of injection profile, ...

Some suggested answers:

- Simulation data will be provided on an HPC system
- We will likely run the ne16L72 (200 km) medium low resolution
 - but if there is a need we can run at the resolution of prognostic runs: ne30L72 (100 km)
- We will output data like E3SM runs on the native cubed-sphere grid on model levels (if 3D)
 - will have to use mappings from native grids, see [spatial remapping](#) and [vertical remapping](#)

Specific Questions: What are the data requirements for the CLDERA tools?

1. How will you use this data set? (i.e. what are the metrics you will use when using this—what will you be verifying?)
 - i) What temporal output frequencies are important for you?
2. How strongly should the implementations be inspired by Pinatubo – i.e. should we try for a “sulfate” or should we put in predator-prey formalisms?
 - i) What criteria can help us make this decision?
3. How important are ensemble runs?
4. How much should this be used to understand sensitivities (to initial conditions (QBO phase for instance), to eruption characteristics (location, magnitude, injection height), ...)?
5. How should we prioritize simulations? When is a simulation plan needed?

References

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Food for thought:

Other (More Complex) Impacts of Volcanic Eruptions

- Idealized experiments are a stepping stone and support the tiered evaluation of the
- CLDERA tools.
- Build a bridge towards more complex cause-and-effect assessments of volcanic (or future non-volcanic) events:
 - Changes to atmospheric dynamics including NH winter warming
 - Ozone depletion
 - Changes in precipitation
 - Weaker monsoons
 - Reduced ocean heat content
 - Shifts in the position of the Intertropical Convergence Zone (ITCZ)
 - Increased sea ice
 - Shifts in phases of modes of climate variability including the North Atlantic and the El Niño Southern Oscillation (ENSO)
 - Changes to Atlantic Meridional Overturning Circulation and Atlantic Multidecadal Variability
 - Disruption to the Quasi-Biennial Oscillation
 - Changes to the carbon cycle